

The TUCAN3G Project: Wireless Technologies for Isolated Rural Communities in Developing Countries Based on 3G Small Cell Deployments

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Recent years have witnessed a massive penetration of cellular systems in developing countries. However, isolated rural areas (sparsely inhabited by low-income population) have been disregarded because classical access and backhaul technologies do not ensure the return on investment. The authors present innovative techno-economical solutions to provide these areas with cellular voice and data services.

ABSTRACT

Recent years have witnessed a massive penetration of cellular systems in developing countries. However, isolated rural areas (sparsely inhabited by low-income population) have been disregarded because classical access and backhaul technologies do not ensure the return on investment. This article presents innovative techno-economical solutions to provide these areas with cellular voice and data services. We first analyze the general characteristics of isolated rural communities, and based on this information, low-cost solutions are designed for both access (using 3G access points) and backhaul networks (using non-carrier grade equipment as WiFi for long distances or WiMAX in non-licensed bands). Subsequently, a study of population-dependent income vs. costs is presented, and a new business model is proposed involving mobile network operators, rural operators, and infrastructure providers. In order to test these solutions, we have built two demonstration platforms in the Peruvian jungle that have allowed validation of the technical feasibility of the solution, verifying the business model assumptions and the scalability of the initiative.

INTRODUCTION

According to data provided by the International Telecommunication Union (ITU), the mobile broadband market is the most dynamic in the telecommunications industry. It is estimated that, at the time of writing, 89 percent of the world's urban population has third generation (3G) or Long Term Evolution (LTE) coverage. However, the situation is different for the rural population: only 29 percent will have 3G coverage on the same date [1].

Focusing on developing countries (DCs), only 34 percent of households have Internet access (in the lowest DC, the percentage is only 7 percent), in contrast to 80 percent in the developed world. This means that over 4 billion people are not yet connected to the Internet. Moreover, a

significant number of African countries still do not report mobile broadband coverage in rural areas. As an example, in Guatemala an urban citizen is 12 times more likely to have Internet connectivity than a rural inhabitant. Differences are far from decreasing: in Colombia, the difference between rural and urban dwellers raised from 18 to 35 percent between 2009 and 2012 [2].

The challenge of connecting rural areas is not trivial. Classic infrastructures, requiring costly cell towers and satellite or optical backhaul, are not suitable. Only decisive actions from administrations (promoting or even fully funding rural infrastructure) and regulatory offices (extending the concept of universal service, creating new figures for rural mobile network operators [MNOs], or relaxing the quality of service (QoS) requirements in rural areas) may generate enough motivation to push researchers, equipment manufacturers, and MNOs toward rural inclusion.

Recent works have proposed very interesting bottom-up strategies (based on community initiatives) to expand voice services in rural areas through technologies such as OpenBTS [3], a combination of OpenBTS with WiFi [4], or WiFi mesh networks [5]. However, rural communities do not always have the knowledge, the legal capacity, or the resources to deploy those technologies. This article presents the approach adopted by the project TUCAN3G (funded by the European Commission), which proposes technically feasible but economically sustainable solutions for the progressive introduction of voice and broadband data services in small communities of rural areas of DCs, using conventional 3G cellular terminals.

TECHNICAL AND SOCIOECONOMIC OBJECTIVES

TUCAN3G proposes the introduction of 3G access points (APs) in outdoor environments, with heterogeneous backhauling in unlicensed bands using WiFi for Long Distances (WiLD) [6] and WiMAX to provide profitable mobile services to remote rural areas of DCs. The project

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was structured around three distinct pillars considered as key for the development of a viable solution:

- Study of the technical feasibility of QoS-ensuring access and transport solutions, adopting low-cost low-power-consumption technologies (the only source of power is solar panels) that facilitate the scaling up when the demand grows.
- The elaboration of a comprehensive and sustainable business case study based on a market survey that focuses on analyzing three key areas: service demand, cost of technology (split in capital and operational expenditures, CAPEX and OPEX), and financing models (including mixed public-private).
- The demonstrative pilot. The project deployed two networks that provide 3G services in the Department of Loreto, Peru. These networks validate in a real scenario the technology developed, and allow empirical testing of the hypotheses of the proposed business model.

RURAL SCENARIO AND USE CASES

The TUCAN3G project seeks global solutions that can be applied to most rural areas in DCs. To attain that goal, the Peruvian case is highly representative because three clearly identifiable regions are encountered: the coast (highly populated and well connected), the highland (poor and with some connectivity issues), and the jungle (flat terrain covered by the Amazon rainforest, with a very low population density and very serious problems of connectivity). While almost 50 percent of the urban population uses the Internet, only 11 percent of the rural population does. 30 percent of urban households have Internet access, while only 1 percent of rural households have it [7].

We can observe on the left of Fig. 1 the mobile service cells deployed in the country (small circles). Most of the populated locations (in brown) located in the forest departments (in green on the right of Fig. 1) lack cellular coverage. Similar conditions are found in the highlands. The correlation between cellular coverage and roads in rural areas is almost perfect. It is straightforward to conclude that unconnected locations correspond to the low-income sparse rural population who also lack electricity supply and roads.

The demonstrative pilot focuses on two regions in the Amazon forest as worst cases from the point of view of access to telecommunications. One is along the Napo River, and the other one is in Balsapuerto, along the Parana-pura River, both in the Department of Loreto (Fig. 1).

NETWORK DESIGN CHALLENGES AND SOLUTIONS

ACCESS NETWORK

TUCAN3G has departed from a traffic model provided by Telefonica del Peru (TdP) in rural areas in Peru both for day-long voice and data traffic per inhabitant. As for the long-term traffic evolution, TUCAN3G considers a traffic increase of 180 percent in the second year of ser-

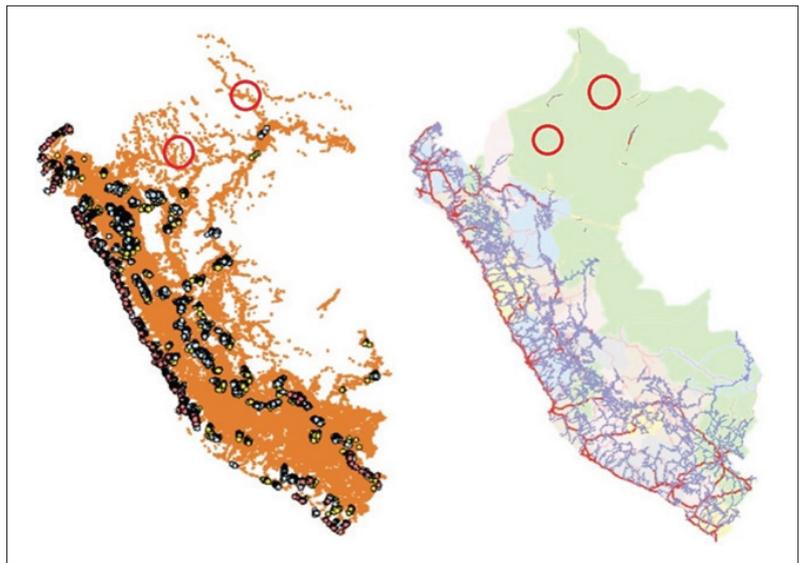


Figure 1. Base stations (left) and road network (right) in Peru (adapted from MTC-Peru). Red circles show the positions of the demonstrative platforms deployed by TUCAN3G.

vice, followed by 5 percent in the third year and 2 percent in the fourth and fifth years. Covering these traffic demands, and being low-cost and low-power-consumption, has led to the selection of a 3G radio access network (RAN) low output power APs, which provide adequate coverage and require simpler installation than large base stations. This solution implies low CAPEX and allows a progressive deployment when demand increases. The approach adopted addresses several questions.

Energy Provision: The power consumption of micro base stations is around 100 W, while pico and femto APs have around 10 W and 5 W, respectively [8]. The energy dimensioning for the different sites has been calculated assuming commercial batteries (Ritar 12 V 100 Ah) and solar panels (Solar World 85 W/panel) under the worst case assumption that each small cell should work up to 24 h at full power during 3 days without solar radiation. The energy elements required per site appear in Table 1.

Coverage Area: Femto APs are suitable for outdoor scenarios if they are placed in high positions and can take advantage of line-of-sight propagation. Additionally, wooden walls create reduced path losses in outdoor-to-indoor channels. In those conditions, the APs deployed in the 800 MHz band licensed in Peru are able to reach a coverage area up to 2 km. The communities are placed 20–70 km apart, so interference is negligible.

Number of APs Needed: The communities considered generate low traffic, and the population is fairly concentrated, so one or two 3G APs of 16 (or 24) channelization codes collocated in the same tower at high positions are typically enough. The second AP is required to satisfy peak traffic demands in some scenarios, and operates at a different frequency. The network planning and energy provision dimensioning results are shown in Table 1.

Adoption of Energy-Aware Self-Optimization Techniques: Self-organization techniques enable

	Community	APs from ip.access	Antenna configuration	Solar panel units ($P_{nom} = 85W$)	Battery units ($C = 1200 Ah \times V$)	Backhaul DL in 5 years	Backhaul UL in year 5	Inhabitants including people in itinerancy
Napoo network	Santa Clotilde 2° 29'22.40"S 73° 40'40.70"W	2 S-Class 16	Gain: 7db Downtilt: 10° Height: 70m	3	3	1872 kb/s	864 kb/s	3222
	Negro Urco 3° 02'34.20"S 73° 23'31.50"W	1 S-Class 16	Gain: 7db Downtilt: 10° Height: 70m	2	2	1238 kb/s	608 kb/s	316
	Libertad 3° 1'41.31"S 73° 22'40.14"W	1 S-Class 16	Gain: 7db Downtilt: 10° Height: 15m	2	2			
	Tuta Pishco 3° 06'31.40"S 73° 08'17.50"W	2 S-Class 16	Gain: 7db Downtilt: 10° Height: 50m	3	3	1066 kb/s	557 kb/s	287
Balsapuerto network	Varadero 5° 42'49.99"S 76° 24'39.59"W	2 S-Class 16	Gain: 7db Downtilt: 10° Height: 30m	3	3	1987 kb/s	892 kb/s	948
	San Juan 5° 52'35.13"S 76° 21'21.73"W	1 E-Class 24	Gain: 2db Downtilt: 0° Height: 20m	1	1	562 kb/s	285 kb/s	118

Table 1. Access network parameters for the two networks deployed in TUCAN3G.

quick unsupervised network management procedures, which entails little human intervention and reduction of OPEX. In the rural scenarios self-organization implies reconsidering two paradigms usually overlooked in urban wireless cellular networks:

- Limited access to energy
- Stringent backhaul limitations

First, the integration of the status of the battery and the energy flow from solar panels in self-optimization mechanisms has led to the definition of a switch on-off solution as a function of the daily traffic demand, which allows a reduction of 15–20 percent in the size of solar panels and batteries [9]. Second, self-allocation of primary sync codes has been implemented. Third, user association techniques: unlike conventional practice, which is based on the user association with the AP received with the strongest pilot signal, battery powered APs require energy-aware constrained association [10, 11]. Evaluated results indicate significant benefits in terms of bit rate for cell edge users, improving Jain's fairness index by 30 percent with respect to conventional max-signal-to-interference-plus-noise ratio (SINR) criterion.

To account for the limited capacity backhaul, proper design of the access network is needed. Conventional schedulers address the backhaul limitations by imposing a maximum instantaneous rate as a function of the backhaul bandwidth information, which is an average measure and hence yields an excessively conservative solution. In contrast, TUCAN3G proposes a scheduler that specifically considers the average backhaul state information as a constraint to be satisfied in the long term, in addition to other constraints like the battery status and energy harvesting. This way, the system sum-rate and fairness can be considerably improved [12], and the system is made robust against energy out-

ages and backhaul congestion. For instance, for a backhaul capacity of 500 kb/s, the difference between forcing an instantaneous constraint on the backhaul or taking the constraint in the long term results in an improvement around 200 percent in both the bit rate of the worst user and the system sum-rate [13].

TRANSPORT NETWORK AND INTERCONNECTION TO THE CORE

Connection of the access network requires a low-cost transport network that ensures QoS and low energy consumption. The cost can be dramatically lowered by sharing part of the backhaul infrastructure among several locations, which in turn generates traffic management issues. Additionally, the use of low-cost technology operating in unlicensed bands can be adopted here for carrier-class deployments due to low or nonexistent interference. In this context, a combination of WiLD [14] and/or WiMAX systems operating in non-licensed bands is a viable solution for a multihop heterogeneous backhaul provided that the capacity is sufficiently high, and the per-hop delay and packet loss are controlled. The performance of point-to-point links has been theoretically analyzed and experimentally tested, proving that WiLD operating in 20 MHz channels allows capacities over 45 Mb/s at 20 km, and over 20 Mb/s at 55 km, while keeping the average delay under 5 ms and a negligible packet loss. WiMAX allows capacities of approximately 60 percent of those obtained for WiLD with 10 MHz channels, for the same delay and packet loss figures [14]. Higher capacities may be achieved under saturation conditions, but at the cost of QoS degradation.

Geostationary satellite links can be contemplated as a solution: they require simple Earth stations and low cost compared to non-geosta-

tionary. However, the downsides are the high propagation delay (a round-trip time in excess of 500 ms) and a recurring expensive monthly cost (around US\$3000/dedicated Mb/s) compared to alternative solutions.

Ensuring End-to-End QoS in Multihop Backhaul Infrastructures: The QoS management must be designed in such a way that each AP perceives acceptable performance regardless of the traffic generated by other APs connected to the backhaul. In this sense, the main hurdle is adjusting the operation point per link in order to avoid saturation and limit delay and packet loss. Second, end-to-end traffic differentiation is a must (i.e., prioritizing voice and signaling traffic over data traffic). Third, it is fundamental to limit the traffic circulating across the multihop backhaul so that none of the links saturate. Such traffic limits must be imposed in a distributed way, as the traffic flows in shared links are introduced to the backhaul networks at different points. Figure 2 illustrates the concept: three edge routers and one gateway have to prioritize and shape the traffic in order to keep the right-most backhaul link unsaturated. Inner nodes also have to collaborate in respecting priorities. Once the network elucidates how much traffic should be accepted to/from each AP, different strategies could be used to ensure end-to-end QoS over the shared infrastructure: distributed QoS architectures based on differentiated services (DiffServ) at the IP layer, or multiprotocol label switching (MPLS) at a lower layer. In [14] the backhaul architecture satisfying all these requirements is detailed, justifying the need for a traffic control system in every node, a function that can be efficiently performed by a low-cost embedded router. Results in [14] demonstrate that the proposed backhaul architecture achieves carrier-class QoS for voice and signaling traffic, while ensuring the best possible quality for data traffic.

Dynamic Transport Network Configuration: Any static solution is not adapted to reality. On one hand, the network might temporarily be able to accept more traffic than the nominal value from/to a given AP without any negative impact, provided that the edge nodes have enough information about the network state. On the other hand, the capacity of wireless links may be perturbed by weather or other environmental conditions. Additionally, nodes are likely to be powered with solar photo-voltaic systems, prone to power shortages. Beyond that, the possibility of dynamically changing parameters as a function of the network state must be examined so that either the performance is fostered or costs can be reduced. Hence, TUCAN3G has proposed dynamic mechanisms for resource allocation that take into consideration both the dynamic evolution of the state of the backhaul and the dynamics of traffic demands [13]. The problem of dynamic optimum distribution of the resources can be cast into a convex optimization problem. Experimental results show that the backhaul architecture adopted in the platforms is able to implement a distributed version of the optimization algorithm based on local information in each node.

Interconnection to the MNO Core Network: The traffic coming from/going to the rural net-

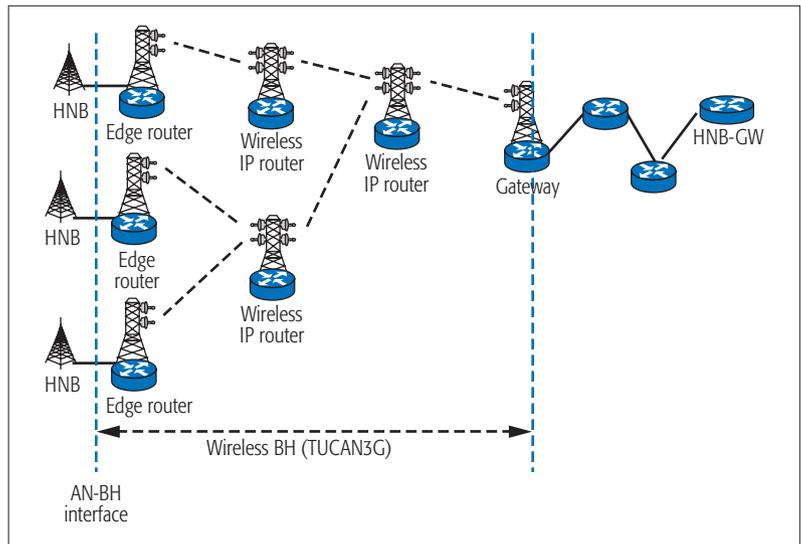


Figure 2. The architecture of the multihop shared backhaul in TUCAN3G networks.

work is exchanged with the radio network controller (RNC) residing in the MNO core network. Switching that traffic across the distribution network and the core network may create several issues, depending on the technology chosen. The following aspects have to be considered:

- Conditions imposed by the AP manufacturer for the interconnection of the rural AP to the RNC
- Conditions imposed by the MNO on how the traffic has to be switched between the rural network and the RNC in the core network
- Considerations related to the inner architecture of the MNO's core network, which is subject to strict security protocols, and might have architectural separations, either logical or physical, in circuit-switched (CS) and packet-switched (PS) networks, and/or in the data plane and control plane

To cover these requirements it was necessary to create virtual interfaces on the RNC to fit the architecture of the core network. Also, point-to-point VPN links were implemented between the controller and each edge router, which differed from the usual policies of TdP. Furthermore, in order to achieve communication between the RNC and AP via the transport network of TdP and installed heterogeneous networks, it was necessary to adopt various specific solutions and variations to the standard configurations, such as:

- Increase the maximum number of received signal code power (RSCP) retransmissions in the RNC in order to improve packet loss tolerance.
- IPsec tunnels were implemented between each AP and RNC, and enable network address translation (NAT) in the edge router to allow traffic to be routed properly.
- Signaling prioritization was implemented as part of a complex process of customizing the equipment configuration of the demonstration platforms.

SUSTAINABLE BUSINESS MODEL

The previously described access and transport

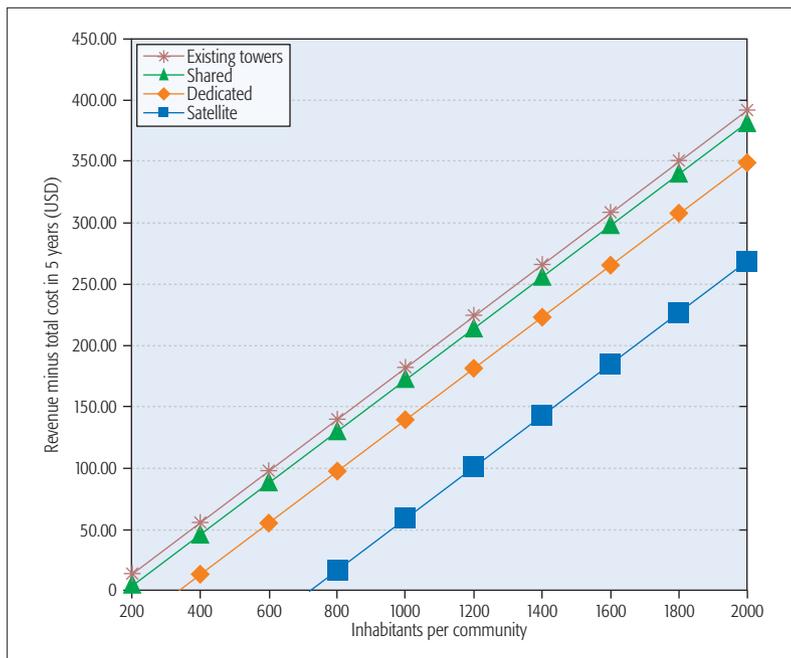


Figure 3. Revenue minus TOC in five years vs. the number of inhabitants per community. Compared to satellite solutions, the TUCAN3G proposal generates a positive return for much smaller communities.

technologies have to be integrated into a sustainable business model. The first step is to analyze the structure of costs and compare it to conventional strategies. The analysis shows that the main difference is the backhauling deployment: MNOs usually deploy a satellite backhaul for each rural community with total ownership cost (TOC) that in five years doubles the TOC of low-cost wireless terrestrial backhauling [14].

The second step is to analyze how this solution could be applied in a real scenario considering the characteristics, expectations, and potential of key stakeholders: consumers, MNOs, and administration. The market survey sheds the following results:

Demand Side: 363 interviews were conducted in six rural populations. Results show that 42 percent of participants earn less than \$140/mo. Despite their low and variable income, 69 percent own a conventional mobile phone and 11 percent a smartphone that are used when traveling to the urban areas. They are estimated to complete an average of 20 calls/mo (with cost of \$0.20/call), and 60 percent of interviewed inhabitants were willing to pay over \$3.6/mo for Internet access. These figures justify assuming an average revenue per user (ARPU) of US\$7/mo, and a service penetration of 50 percent.

Offer Side: Large MNOs maintain a moderate to low interest in Peruvian rural areas. In addition to the high deployment and operating costs, the service quality established by law in rural and urban areas is similar, a requirement that is difficult to guarantee due to the time required to reach these isolated communities. If we also take into account that urban areas concentrate the largest volume of business, we can easily understand where most MNO investment strategy is focused. As a consequence, they are leaving a niche of opportunity for smaller MNOs or network communities willing to offer services

as long as regulation allows it.

Public Sector: The Peruvian administration has decided to support the deployment of communications networks as a tool to promote local development and to bring administration services closer to the rural population. To accomplish this objective they play a dual role. On one hand, the government administers public funds coming from taxes applied to companies with large business volumes (telecom operators, oil, mining, etc.) through FITEL, and devotes them to reducing inequalities between rural and urban areas by deploying new infrastructures through different mechanisms such as subsidies or loans that usually do not cover operation costs. On the other hand, the administration can act through regulation to establish incentives or enforce MNOs' work in rural areas, establish new quality requirements, or promote innovative approaches.

Based on this information, several business models were proposed to cover both the demand for 3G services and the revenue expectations of large telecommunications operators. The most relevant are compared in Fig. 3:

- Satellite: satellite backhauling per location, which is the traditional approach and is used as reference
- Dedicated: dedicated terrestrial backhauling based on the low-cost technologies previously proposed, which would exclusively be used for 3G services
- Shared: shared terrestrial backhauling based on the low-cost technologies proposed earlier, which would be shared with other services (3G, fixed Internet access, etc.)
- Existing towers: terrestrial backhauling deployed over existing subsidized infrastructure, which would be exclusively used for 3G services

The figure of merit adopted for comparison is the minimum number of inhabitants per location, which would provide a positive margin between revenue and TOC in 5 years (assuming an ARPU of US\$7 and a penetration of 50 percent). Results in Fig. 3 show that wireless terrestrial networks can reduce the number of inhabitants required to reach the break even point from 800 (with satellite backhauling) to 400. It is economically feasible to reach even smaller communities (around 200 inhabitants) with alternative business models based on sharing the wireless network with other services or taking advantage of previously mentioned public funds. This analysis is based on actual information from our high-cost deployments, which means that the TUCAN3G solution could reach even smaller communities in more accessible (but still isolated) scenarios, like the Andean region where the deployment cost is significantly lower.

Finally, it is important to note that wireless terrestrial backhauling could be deployed by an MNO but also by a tower-rental company, a local community, or a public administration. Therefore, it would be possible to combine local initiatives with public support.

DEMONSTRATIVE PILOTS

In order to implement mobile services on the six target communities, TUCAN3G has deployed an access network (Table 1) and a transport infra-

The Andean Development Corporation and the Regional Government of Loreto has offered additional funds to extend coverage to the entire basin of the Napo River, adding another 15 locations to the pilot demonstration project. The verification of the business model in this larger sample will reinforce the findings of our study.

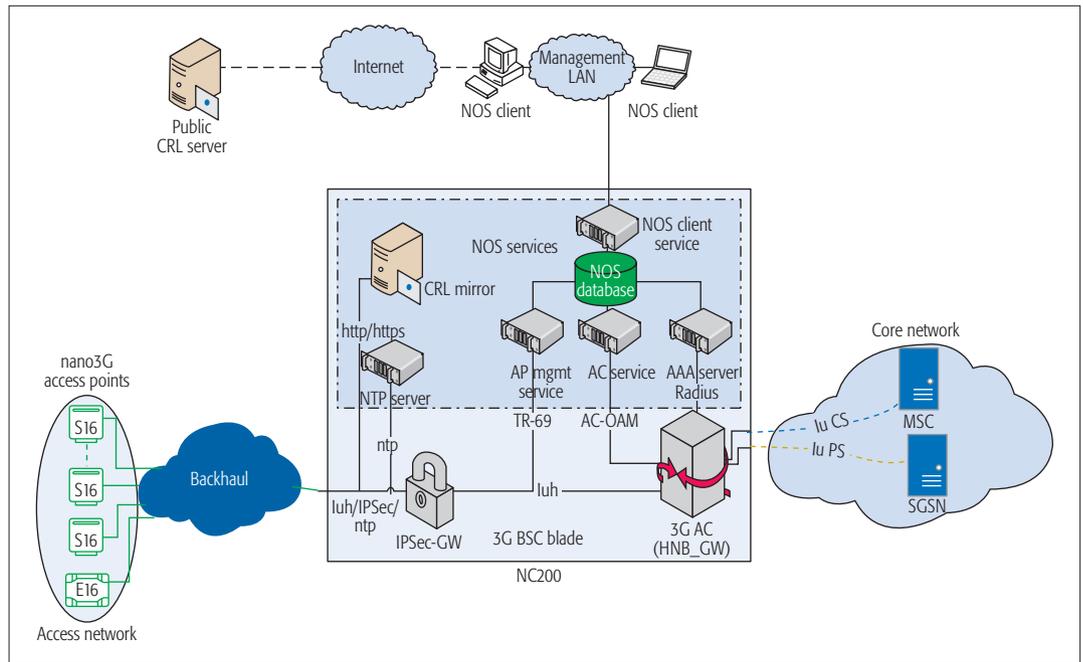


Figure 5. A radio network controller and its integration in the access and core networks.

Clearly, the deployment only makes sense if the RNC is going to provide service to many nationwide APs. In addition, there is also a high cost for the integration of the RNC in the core of the MNO, which normally involves interacting with other manufacturers. Also, our pilot demonstration is leveraging existing infrastructure (tower) that the EHAS Foundation had installed in these locations for previous telemedicine projects. The size and cost associated with the towers in the jungle (average height of 70 m and costs above US\$20,000) are much higher than in mountain areas (about 12 m and costs below US\$3000).

3. Continuity of service and penalties. In many countries, the law enforces maintaining mobile service once it is launched. Moreover, in many cases, penalties for low QoS and blackouts are the same for rural and urban areas, which discourages MNOs from participating in pilot testing or innovative projects. In TUCAN3G, TdP and FITEL were partners of the consortium, which helped to negotiate a consensus position: should the project have proved economically non-viable in the long term, the operator would have maintained the voice service in the communities.

4. The resistance or acceptance of MNOs of these deployments depends largely on the position of the public sector. The approval of legislation to facilitate the introduction of new players in the rural sector (in the form of rural mobile operators) and more flexible conditions of service delivery will help to bridge the coverage gap in these remote areas.

5. It is crucial to build mutual trust relationships with the communities: such projects require the participation and understanding of the population, their acceptance, and formal approval. Usually, the expectation of the people is very high, and they take an active role in proactively reporting incidents during the works and the stabilization process of the platforms.

CONCLUSIONS

The pilot deployment has been operating since October 2015, and has reached an average of 40 daily calls per location. It is believed that 70 daily calls will be reached soon and make the service sustainable.

Several international development agencies have shown interest in the TUCAN3G initiative. The Andean Development Corporation (CAF) and the Regional Government of Loreto have offered additional funds to extend coverage to the entire basin of the Napo River, adding another 15 locations to the pilot demonstration project. The verification of the business model in this larger sample will reinforce the findings of our study.

In conclusion, TUCAN3G has developed technology adapted to the rural reality of DCs. It has also verified the technical, economic, and social viability of a model providing a 3G service alternative to the current one, whereby administration, rural infrastructure MNOs, and large MNOs can jointly provide coverage to remote areas usually neglected in DCs.

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BIOGRAPHIES

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